

PART B - FEE(S) TRANSMITTAL



Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All other correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31013 7590 02/03/2006

KRAMER LEVIN NAFTALIS & FRANKEL LLP
 INTELLECTUAL PROPERTY DEPARTMENT
 1177 AVENUE OF THE AMERICAS
 NEW YORK, NY 10036

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Jenny Lynn Stewart (Depositor's name)
 (Signature)
 May 3, 2006 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/839,932 | 04/20/2001 | David Moy | 100647-3845 | 4118 |

TITLE OF INVENTION: PROCESS FOR PRODUCING SINGLE WALL NANOTUBES USING UNSUPPORTED METAL CATALYSTS AND SINGLE WALL NANOTUBES PRODUCED ACCORDING TO THIS METHOD

05/11/2006 WABDEL3 00000029 09839932

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE PAID |
|----------------|--------------|---------------------|-----------------|----------------------|------------|
| nonprovisional | NO | \$1400 XXX \$700 | \$300 | \$1700 XXX \$1000 | 05/03/2006 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-----------------------|----------|----------------|
| HENDRICKSON, STUART L | 1754 | 423-447300 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Kramer Levin Naftalis &
 2. Frankel LLP
 3. Barry Evans, Esq.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hyperion Catalysis International, Inc. Cambridge, MA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee Check No. 9876 \$1000.00

☒ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 10 Check No. 9875

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0540 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date May 3, 2006

Typed or printed name Albert B. Chen, Esq.

Registration No. 41,667

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Moy et al. Conf. No. 4118
Serial No. : 09/839,932
Filed : April 20, 2001
For : PROCESS FOR PRODUCING SINGLE WALL NANOTUBES USING
UNSUPPORTED METAL CATALYSTS AND SINGLE WALL
NANOTUBES PRODUCED ACCORDING TO THIS METHOD
Art Unit : 1754
Examiner : Stuart Hendrickson

CERTIFICATE OF FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee/Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on May 3, 2006.

Jenny Lynn Stewart

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL OF ISSUE FEE

Sir:

In connection with the above-identified patent application, enclosed please find the ISSUE FEE TRANSMITTAL, PTOL-85. Applicants also enclose herewith Kramer Levin Naftalis & Frankel LLP check no. 9876 for \$1000.00 covering the Issue Fee for a small entity and the publication fee. Also enclosed is check no. 9875 for \$30.00 for ten (10) additional soft copies of the patent.

Please charge any additional fees or credit any overpayment to Deposit Account

No. 50-0540. A duplicate copy of this communication is enclosed therefore.

Respectfully submitted,
KRAMER LEVIN NAFTALIS & FRANKEL LLP
Attorneys for Applicants

Dated: May 3, 2006

By: 

Barry Evans, Esq., Reg. No. 22,802
Albert Chen, Esq., Reg. No. 41,667
Silvia Salvadori, Reg. No. 48,265
1177 Avenue of the Americas
New York, New York 10036
(212) 715-9100